

Title: Response to Research Participants' Requests

SOP Code: SOP/17/V1.1

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1. Purpose

Since the NIRRH Ethics Committee for Clinical Studies considers protection of the rights and welfare of the human subjects participating in a clinical investigation/research approved by the IEC as its primary responsibility, Informed Consent documents reviewed by the IEC may routinely contain the statement, "Questions regarding the rights of a participant/patient may be addressed to the Member Secretary with the NIRRH Ethics Committee for Clinical Studies *address and/or phone number*. On some occasions, the first contact with the participant/patient would be the IEC Secretariat.

This procedure provides guidelines for dealing with and accommodating requests by participants/patients regarding their rights as a participant in any approved research study.

2. Scope

This SOP applies to all responses to requests from participant concerning their rights and well-being while participating in studies approved by the IEC.

3. Responsibility

The Institute's policy designates the Member Secretary of the IEC as the person responsible for communicating with participants/patients regarding their rights as study participants. Delegation of this responsibility to another IEC member is acceptable as long as the delegation is documented (in writing). Delegation to non-IEC members is not permitted.

4. Flow chart

No.	Activity	Responsibility
1	Receive the request ↓	IEC Members and Secretariat
2	Take action ↓	IEC Members and Chairperson
3	File the request document	IEC Secretariat

5. Detailed instructions

5.1 Receive the request.

- The IEC member receives the inquiry or requests from research participants/patients.
- Record the request and information in the request record form (Form AF/EC/01/17/V1.1 see ANNEX 1)
- Communicate with the IEC about study participant rights for instruction (if required).
- Refer the inquiry to the IEC Chairperson in writing (if required).
- Staff of the institute may provide assistance in contacting the Member Secretary, but will not provide comments/opinions about the inquiry.

5.2 Take Action

- Investigate the fact.
- Record information and any action or follow-up taken in the form AF/01/17/V1.1.
- Take signature of the Chairperson and the Member Secretary and date the form.
- Report to the IEC about the action taken and the outcomes.
- Communicate the reply with the participant and keep the record.

5.3 File the request document

- Keep the record form in the “response” file.
- Keep a copy in the study file.
- Store the file in the appropriately labeled shelf.

6. References

- 6.1 World Health Organization, Operational Guidelines for Ethics Committees that Review Biomedical Research, 2000.
- 6.2 International Conference on Harmonization, Guidance on Good Clinical Practice (ICH GCP) 1996.
- 6.3 Forum for Ethical Review Committees in Asia and the Western Pacific SOPs 2006

7. ANNEX

ANNEX 1 AF/EC/01/17/V1.1 Request Record Form

ANNEX 1

AF/EC/01/17/V1.1

Request Record Form

Date Received:	
Received by :	
Request by :	<input type="checkbox"/> Telephone call No..... <input type="checkbox"/> Fax No..... <input type="checkbox"/> Mailed letter / Date..... <input type="checkbox"/> E-mail / Date..... <input type="checkbox"/> Walk-in / Date / Time..... <input type="checkbox"/> Other, specify
Participant's Name:	
Contact Address:	
Phone:	
Title of the Participating Study	
Starting date of participation :	
What is the request?	
Action taken:	
Outcome:	

Signature of Member Secretary

Signature of the Chairperson